

Report of:	Chief Internal Auditor
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Report Track:	Audit & Governance Committee only

Audit & Governance Committee
22nd November 2012
Internal Audit - Quarter 2 Report for 2012-13

1 Purpose of Report

- 1.1 To present to the Audit & Governance Committee for information the Internal Audit Quarter 2 Report for 2012-13

2 Recommendations

- 2.1 That the Committee notes the contents of the Internal Audit Report for Quarter 2 of 2012-13

3 Key Issues and Reasons for Recommendation

- 3.1 Attached at Appendix 1 is the Internal Audit Report for the second quarter of 2012-13.
- 3.2 Nine audits have been completed to draft stage and six audits were in progress at the end of the quarter. This is slightly behind the number of audits planned to be completed at the end of the quarter which was eleven. However at this time it is anticipated that the audit plan will be satisfactorily completed by the end of the year.
- 3.3 Of the audits completed in the quarter, one has been given effective assurance and five classified as requiring some improvement

4 Report Detail

- 4.1 Please see Appendix 1 for the report detail.

5 Implications

5.1 Financial

None

5.2 Legal

None

5.3 Human Resources

None

5.4 Section 17 (Crime Prevention)

None

5.5 Human Rights Act

None

5.6 Data Protection

None

5.7 Risk Management

None

5.8 Equality & Diversity

None

5.9 Best Value

None

6 Appendices to the Report

Appendix 1 – Internal Audit Quarter 2 Report for 2012-13

Background Papers

- Audit Plan File
- Audit Time Recording System
- Internal Audit Reports