

<b>Report of:</b>	<b>Chief Internal Auditor</b>
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<b>Report Track:</b>	<b>Audit &amp; Governance Committee only</b>

**Audit & Governance Committee**  
**26<sup>th</sup> March 2013**  
**Internal Audit - Quarter 3 Report for 2012-13**

**1 Purpose of Report**

1.1 To present to the Audit & Governance Committee for information the Internal Audit Quarter 3 Report for 2012-13

**2 Recommendations**

2.1 That the Committee notes the contents of the Internal Audit Report for Quarter 3 of 2012-13

**3 Key Issues and Reasons for Recommendation**

3.1 Attached at Appendix 1 is the Internal Audit Report for the third quarter of 2012-13.

3.2 Twelve audits have been completed to draft stage and a further twelve audits were in progress at the end of the quarter. This is slightly behind the number of audits planned to be completed at the end of the quarter which was twenty. However at this time it is anticipated that the audit plan will be satisfactorily completed by the end of the year.

3.3 All three of the audits completed in the quarter have been classified as requiring some improvement

**4 Report Detail**

4.1 Please see Appendix 1 for the report detail.

**5 Implications**

**5.1 Financial**

None

**5.2 Legal**

None

**5.3 Human Resources**

None

**5.4 Section 17 (Crime Prevention)**

None

**5.5 Human Rights Act**

None

**5.6 Data Protection**

None

**5.7 Risk Management**

None

**5.8 Equality & Diversity**

None

**5.9 Best Value**

None

**6 Appendices to the Report**

Appendix 1 – Internal Audit Quarter 3 Report for 2012-13

**Background Papers**

- Audit Plan File
- Audit Time Recording System
- Internal Audit Reports