

Report of:	Head of Governance
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Key Decision:	No
Report Track:	Audit & Governance Committee Only

AUDIT & GOVERNANCE COMMITTEE

6TH DECEMBER 2012

STRATEGIC RISK REGISTER

1 Purpose of Report

- 1.1 To provide an update on the strategic risks facing the Council in delivering its objectives.

2 Recommendations

- 2.1 That the progress in addressing the Strategic Risks be noted.

3 Key Issues and Reasons for Recommendation

- 3.1 At the end of Quarter 2, the Council's risk profile has been reviewed and is summarised in the table below:

Risk Colour	Number of Risks at 1st April 2012	Number of Risks at September 2012
Red	1	1
Amber	11	10
Green	0	1
TOTAL	12	12

4 Relationship to Corporate Priorities




- 4.1 This report supports the Council's Corporate Priorities as follows:
- (i) Risk management is a systematic process by which key business risks / opportunities are identified, prioritised and controlled so as to contribute towards the achievement of Council aims and objectives.
 - (ii) The strategic risks set out in the Appendices have been categorised against the Council's priorities.

5 Report Detail

- 5.1 At the end of Quarter 2 the number of strategic risks remains at 12. All risk scores have been reviewed and:
- 11 risks remain as they were when last reported to the Audit & Governance Committee; and
 - Risk no 7 (Shared Services with Stafford Borough Council – savings not delivered, service standards not maintained) has reduced from a medium (amber) to low (green).

The current risk profile is summarised in the table at 3.1.

- 5.2 Actions have been identified to contain or reduce the risk for the High and Medium categories however; it is accepted that by their very nature some risks will always be present and it may not be possible to reduce them. They will however continue to be monitored for any changes in the risk score.
- 5.4 A progress update for those actions due up to September 2012 is included in the full strategic risk register attached at Appendix 2. Those actions that have been completed are shaded grey and will be removed when the risk register is next reported to the Audit & Governance Committee.
- 5.5 Following discussions during the August 2012 Audit & Governance Committee meeting, additional information has been included in the Strategic Risk Register (Appendix 2) in the form of an 'Overall Progress Summary' for each risk, this is accompanied by a symbol to indicate whether progress is on target or otherwise as indicated below:

Red	Amber	Green
		
No progress made in reducing the risk	Some progress made in managing the risk	Risk on target to be reduced

6 Implications

6.1 Financial

None

6.2 Legal

None

6.3 Human Resources

None

6.4 Section 17 (Crime Prevention)

None

6.5 Human Rights Act

None

6.6 Data Protection

None

6.7 Risk Management

The Risk Management implications are included within the body of the report

6.8 Equality & Diversity

None

6.9 Best Value

None

7 Appendices to the Report

Appendix 1 – Summary of Strategic Risks 2012-13

Appendix 2 – Strategic Risk Register – Detailed

Background Papers

File of papers kept in the Risk & Resilience Manager's office.

**SUMMARY OF STRATEGIC RISKS FOR 2012-13
AS AT SEPTEMBER 2012**

Risk No	Potential Risks	Risk Owner	Date Added to Risk Register	Score at 1 April 2012	Score at 30 June 2012	Direction of Travel
12	Adaptations (DFGs)	Head of Environmental Health	Jun-11	HIGH	16	↔
4	Commissioning and management of services	Corporate Director	Jun-11	MEDIUM	12	↔
5	Decision making is not evidence based	Head of Policy	Jun-11	MEDIUM	12	↔
8	Budget Assumptions do not deliver required savings	Head of Finance	Mar-11	MEDIUM	12	↔
13	Refurbishment of Chase Leisure Centre	Head of Commissioning	Jun-11	MEDIUM	12	↔
15	Core Strategy for the District	Head of Planning & Regeneration	Jun-11	MEDIUM	12	↔
1	Insufficient Capacity	Chief Executive	Feb-08	MEDIUM	9	↔
2	Lack of Staff Wellbeing/Low Morale	Chief Executive	Jun-11	MEDIUM	9	↔
11	Additional burial space	Head of Environmental Services	Jun-11	MEDIUM	9	↔
3	District's needs not recognised by external stakeholders	Chief Executive	Jun-11	MEDIUM	8	↔
10	Regeneration strategy for Moss Estate, Chadsmoor	Head of Housing	Jun-11	MEDIUM	8	↔
7	Shared Services with Stafford Borough Council	Head of Governance	Jun-11	LOW	6	↓

Key to Direction of Travel



Risk has decreased



Risk level unchanged



Risk has increased